



FirstBanC is licensed by the S.E.C and is a Licensed Dealing Member of the G.S.E

ACCOUNT APPLICATION FORM - INDIVIDUALS

FIRSTFUND HERITAGE FUND
 BROKERAGE SERVICES FUNDMANAGEMENT

(Official use only)

Politically Exposed Person

Risk Profile High Medium Low

Account No.

Initial Investment Amount (GH¢)

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Please write in block letters

ACCOUNT TYPE (Please tick one)

Individual

Joint-Applicant

In Trust For (ITF)

APPLICANT

Full Name _____
(Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

In Trust For (If Any) _____ Date of Birth (ITF) ____/____/____

Date of Birth ____/____/____ Marital Status _____ Nationality _____

Residential Address _____

Postal Address _____

Email Address _____

Phone Number _____ Residential _____ Mobile _____

Country of Citizenship: Ghana Other Country of Residence: _____

Employment Status: Self Employed Employee Unemployed

Occupation _____ Name of Employer or School _____
(company or institution)

Monthly Net Income (GH¢)
 100.00 - 1,000.00 1001.00 – 2,000.00 2,000.00 - 5,000.00 Above 5,000.00

Sources of Income Salary Other Sources (please specify) _____

Security Test: Place of Birth _____ Mothers Maiden Name _____

SECOND APPLICANT

Full Name _____
(Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

In Trust For (If Any) _____ Date of Birth (ITF) ____/____/____

Date of Birth ____/____/____ Marital Status _____ Nationality _____

Residential Address _____

Postal Address _____

Email Address _____

Phone Number _____ Residential _____ Mobile _____

Country of Citizenship: Ghana Other Country of Residence: _____

Employment Status: Self Employed Employee Unemployed

Occupation _____ Name of Employer or School _____
(company or institution)

Monthly Net Income (GH¢)

100.00 - 1,000.00 1001.00 - 2,000.00 2,000.00 - 5,000.00 Above 5,000.00

Sources of Income Salary Other Sources (please specify) _____

Security Test: Place of Birth _____ Mothers Maiden Name _____

FIRST APPLICANT

SECOND APPLICANT

Valid National ID (please tick one)

- SSNIT Biometric
- Passport
- Voter's ID Card
- Driver's License
- National Identification Card
- Other (Please specify)

ID Number _____

Expiration Date ____/____/____

Valid National ID (please tick one)

- SSNIT Biometric
- Passport
- Voter's ID Card
- Driver's License
- National Identification Card
- Other (please specify)

ID Number _____

Expiration Date ____/____/____

MANDATE ON JOINT OR GROUP ACCOUNT

Any to sign Both to sign Other (please specify) _____

YOUR INVESTMENT OBJECTIVE (Choose one that closely matches your view)

Short Term: You seek to preserve your capital and are willing to accept the lowest returns in exchange for price stability

Conservative: You seek to minimize fluctuations in market values and are concerned with the conservation of your capital

Balanced: Your focus is capital appreciation and you will accept fluctuations in market value of your investment

Growth: You have a preference for growth and can withstand significant fluctuations in market value

Aggressive Growth: You seek aggressive growth and can tolerate wild fluctuations in market values

How long do you plan to invest?

Less than One year One to three years Over three - five year Over five years

What is your overall knowledge about investment?

Low Medium High

What are you investing primarily for?

Retirement Education Income
Mortgage/Housing Travel Other (Please specify)

OPERATIONS AND MANAGEMENT AGREEMENT

First Fund

The first fund is a short term collective investment scheme that pools resources of a group of people known as shareholders and manage it on their behalf. The main objective of the fund is to provide regular income while preserving capital and maintaining liquidity at all times by investing primarily in a diversified portfolio of high quality fixed income securities and other money market instruments. The minimum investment period is one month to cover the front load fee. Investors are however encouraged to hold their investments for at least three months to allow for bench marking with 91 day treasury bill.

Heritage fund

The heritage fund is an open-ended equity mutual fund. The fund seeks to achieve long term capital growth for its investors. A shareholder is advised to be in the fund for at least three years before making withdrawals. Withdrawals made before three years is subject to a sales commission of 1-3% of the shareholders' investment (principal plus gains). Returns on the fund are in the form of capital gain / loss and not guaranteed interest. The fund shall charge a management fee 2% of the net asset value. Other operational expenses will be charged to the fund. The minimum balance on your account must be ₦20.00. Any account with a balance below this amount would be liquidated.

Brokerage

Firstbanc Brokerage Services provides intermediary services to clients who intend to buy or sell securities. We give guidance to individuals who want to invest through the capital market and also offer investment advisory services to corporate bodies which intend to raise funds through the capital market and manage their performance in the market. At FirstBanC, commissions and levies for buying or selling listed equity range between 1.5% and 2.5% of the trade value per the GSE schedule of commissions.

BENEFICIARY

1. Full Legal Name _____
Percentage Allotment (%) _____
Relationship to applicant _____
Telephone No. _____

BENEFICIARY

2. Full Legal Name _____

Percentage Allotment (%) _____

Relationship to applicant _____

Telephone No. _____

NOTE:

1. Percentage must add up to 100%
2. By law, the content of a will supersedes the beneficiaries listed in this form, it is therefore important to ensure your wishes regarding your investment are clearly stated in a will.

NEXT OF KIN

Name _____

Email _____ Telephone _____

DECLARATION:

I/we hereby apply for an account on the terms and conditions of the G.S.E or as set out in the fund prospectus. I/we declare that I/we am/are qualified to make this application per the laws pertaining to investments in Ghana.

First Applicant

Joint Applicant (if any)

Name _____

Name _____

Signature _____

Signature _____

Date ____/____/____

Date ____/____/____

OFFICIAL USE ONLY

	Name	Signature	Date
Account Signup	_____	_____	____/____/____
Account Set up	_____	_____	____/____/____
Account Approval	_____	_____	____/____/____
Senior Management Approval (if PEP)	_____	_____	____/____/____



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